

# Anti-Money Laundering Essentials for Financial Institutions and Designated Non-Financial Businesses or Partnerships



by

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Commercial Crime Specialist



- Over twenty years of experience with particular focus on commercial crime and corruption.
- Prosecutor having experience of serious transnational crime.
- In depth work interaction with government departments and regulatory bodies Hong Kong Police; Independent Commission Against Corruption; Customs and Excise; Financial Services and Treasury Bureau; Securities and Futures Commission; Hong Kong Monetary Authority and the Office of the Insurance Commissioner.
- Advising on the evidence needed and investigatory approaches for complicated and involved frauds and money laundering cases.
- Gavin was the legal evaluator representing Hong Kong for the joint mutual evaluation of South Korea in 2009 conducted by the Financial Action Task Force (FATF) and the Asia Pacific Group (APG).
- These evaluations were to assess the respective jurisdictions' compliance with the 40 plus 9 Recommendations of FATF in respect of AML and CFT and provide comprehensive reports on same by the evaluation team.
- Recent head of the HKSAR's Justice Dept's asset recovery unit responsible for confiscations and restraints of criminal assets and so closely associated with anti-money laundering advice and policy.

Where are we at? After legal attempts to water down the main criminal offence that failed. Hong Kong Monetary Authority [HKMA] and others are attempting to prepare for Hong Kong's international audit?

## This course will cover the followings:

- What is the main money laundering offence and what to look out for;
- What is the reporting offence and what to look out for; and
- Where does it all fit and the future?
- What are the reporting obligations?
- What does the HKMA expect? Other regulators?

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Code:	<b>EVT000000201</b>	Level:	<b>Advanced</b>
Date:	<b>6 December 2017 (Wednesday) (Amended)</b>	Language:	<b>English</b>
Time:	<b>14:30 - 17:45</b> (Reception starts at 14:00)	Accreditation(s):	<b>LSHK 3.0 CPD Points</b> (LSHK Allocated Number: 20173410) <b>SFC 3.0 CPT Hours</b>
Venue:	<b>Kornerstone Institute</b> 15/F, Hip Shing Hong Centre 55 Des Voeux Road Central Central, Hong Kong	Request for Rerun:	<b>Please Contact Us for Details</b>



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