

Financial Fraud: Investigation and Prevention

by
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(HK & PRC)



Dr. Francis K. Liu is the SFC Registered Investment Adviser holding Type 4 and Type 6 Licenses. He is a Registered Financial Planner (HK & PRC) and has extensive management, corporate finance, structural finance, commercial banking and investment banking experiences within the Asia Pacific Region.

Currently, Dr. Liu is the Managing Director of Guangdong (International) Capital Limited, the external Asset Manager of Credit-Suisse (Zurich), Independent Financial Adviser and International Intermediary for Liechtensteinische Landesbank, Liechtenstein. Advisor to the Board of the Zhong Cai Offshore Investment Fund (中財海外投資基金) under Ministry of Finance in the PRC, the Advisor to the Government of East Timor and to Peru.

Prior to the above, he held senior management position with ING Bank, S-E-Banken, Swiss Bank Corporation, Liu Chong Hing Bank and John D. Hutchison Group.

During the years of working experiences in the banking and finance sector, he has established strong business relationship with BNP-Paribas, CitiCorp, DBS Bank, Societe Generale (HK & PRC), Morgan Stanley, Macquarie (Hong Kong) Limited, CLSA, Millennium Finance Corp (Dubai) and has long standing work relation with Man Investments and MF Global.



The course will cover the following:

- Front-End-Fraud
- Discount on Face Value
- Fake Financial Instruments
- Case Studies on Investigation and Prevention

Scan to Calendar



Code:	EVT000000288	Level:	Intermediate
Date:	31 October 2019 (Thursday) (Amended)	Language:	Cantonese (Course Materials in English)
Time:	14:30 - 17:45 (Reception starts at 14:00)	Accreditation(s):	LSHK 3.0 CPD Points SFC 3.0 CPT Hours
Venue:	Kornerstone Institute 15/F, Hip Shing Hong Centre 55 Des Voeux Road Central Central, Hong Kong	Request for Rerun:	Please Contact Us for Details



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