

Conflicts of Interest & Engagement Terms



by
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John is an Adjunct Associate Professor of Law at The University of Hong Kong, responsible for designing and delivering postgraduate law courses on financial crime compliance, anti-money laundering and counter-terrorist financing, white collar crime, and sanctions. In addition, he is a seasoned compliance practitioner who has worked at a number of international law firms. With more than 15 years of experience, he regularly advises partners, lawyers, and business services professionals on different professional conduct issues and internal risk management matters (e.g., conflicts of interest, anti-money laundering, operational risk management, professional ethics).



John obtained his Bachelor of Business Administration (with first class honours) and Juris Doctor from The Chinese University of Hong Kong. He is a fellow and external examiner of the International Compliance Association. In addition, he is a part-time doctoral candidate at the Faculty of Law of The University of Hong Kong. Recently, he successfully defended his doctoral thesis titled "Integrated Professionalism and Ethical Infrastructures for Managing Conflicts of Interests Issues: A Study of International Law Firm Lawyers in Hong Kong and Singapore".

This three-hour seminar is divided into two parts.

In the first part, the main types of conflicts of interest encountered by lawyers and their firms and sources of conflicts rules will be highlighted. Through analysing some case scenarios, they seek to demonstrate how the different conflicts rules come into play. This part will conclude with some practical pointers on how lawyers and their firms can effectively manage conflicts of interest issues in practice.

In the second part, the role and importance of client engagement letters will be explained, together with the key points to consider when drafting these letters. In addition, the emergence of client-based engagement terms will be discussed, with consideration on how they impact lawyers and their firms in practice, including how lawyers should review and negotiate such terms with their clients.

During this seminar, there will be various interactive exercises and discussions, so to encourage the participants to share their views and experience.

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Part 1

- Introduction to conflicts of interest
- Sources of standards
- Practical issues and challenges
- Conflicts case scenarios

Scan to Calendar



Part 2

- Introduction to client engagement terms
- Practical pointers and guidance
- Client-based engagement terms: Practical exercise

Code:	EVT000000409	Level:	Advanced
Date:	27 September 2023 (Wednesday) (Amended)	Language:	English
Time:	14:30 - 17:45 (Reception starts at 14:00)	Accreditation(s):	LSHK 3.0 RME Elective Hours LSHK 3.0 CPD Points
Venue:	<i>Option of:</i> (1) Online via Zoom; or (2) Kornerstone Institute 15/F, Hip Shing Hong Centre 55 Des Voeux Road Central Central, Hong Kong	Request for Rerun:	Please Contact Us for Details



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